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PTO/SB/21 (08-00)

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|                                                                                             |                             |                               |              |
|---------------------------------------------------------------------------------------------|-----------------------------|-------------------------------|--------------|
| <b>TRANSMITTAL<br/>FORM</b><br><br>(to be used for all correspondence after initial filing) | <b>Application Number</b>   | 09/825,304                    |              |
|                                                                                             | <b>Filing Date</b>          | April 4, 2001                 |              |
|                                                                                             | <b>First Named Inventor</b> | Hemant Agrawal                |              |
|                                                                                             | <b>Group Art Unit</b>       | 2661                          |              |
|                                                                                             | <b>Examiner Name</b>        | TBA                           |              |
| <b>Total Number of Pages in This Submission</b>                                             |                             | <b>Attorney Docket Number</b> | 003493.00184 |

### ENCLOSURES (check all that apply)

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <input type="checkbox"/> Fee Transmittal Form<br><br><input type="checkbox"/> Fee Attached<br><br><input type="checkbox"/> Amendment / Response<br><br><input type="checkbox"/> After Final<br><br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input checked="" type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><br><input type="checkbox"/> Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition<br><br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input type="checkbox"/> Other Enclosure(s) (please identify below): |
| <b>Remarks</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                |

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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                                |                                    |
|--------------------------------|------------------------------------|
| <b>Firm or Individual name</b> | Thomas H. Jackson, Reg. No. 29,808 |
| <b>Signature</b>               |                                    |
| <b>Date</b>                    | October 14, 2003                   |

### CERTIFICATE OF MAILING

|                                                                                                                                                                                                                                                   |  |             |  |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|-------------|--|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <input type="text"/> |  |             |  |
| <b>Typed or printed name</b>                                                                                                                                                                                                                      |  |             |  |
| <b>Signature</b>                                                                                                                                                                                                                                  |  | <b>Date</b> |  |

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**PATENT APPLICATION**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                       |   |                                  |
|-----------------------|---|----------------------------------|
| In re Application of  | ) | Group Art Unit: 2661             |
|                       | ) |                                  |
| Hemant Agrawal et al. | ) | Examiner: TBA                    |
|                       | ) |                                  |
| Serial No. 09/825,304 | ) | Attorney Docket No. 003493.00184 |
|                       | ) |                                  |
| Filed: April 4, 2001  | ) |                                  |

For: METHOD AND APPARATUS FOR S.I.P./H.323 INTERWORKING

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

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Sir:

In accordance with 37 C.F.R. §1.97 and §1.98, enclosed is a PTO Form-1449 listing art for consideration by the Examiner. We hereby certify under 37 CFR §1.97(e)(1) that each item of information contained in the Information Disclosure Statement filed concurrently herewith was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

We further certify under 37 C.F.R. § 1.704 (d) that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement.

**Information Disclosure Statement**

Serial No. 09/825,304

These documents were cited by the International Preliminary Examining Authority (Written Opinion) for corresponding PCT Application No. PCT/US01/11451 on September 12, 2003. A copy of the Written Opinion is also enclosed.

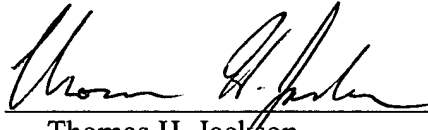
Consideration of this information is respectfully requested.

The submission of the listed documents is not intended as an admission that any such documents constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed documents as a competent reference against the claims of the present application.

Respectfully submitted,

Date: October 14, 2003

By:



Thomas H. Jackson

Registration No. 29,808

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Information Disclosure Statement

Serial No. 09/825,304

Sheet 1 of 1

|                                                                                                                                               |                                  |                             |
|-----------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------|-----------------------------|
| PTO-1449 (Modified)<br><br>U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE<br><br>INFORMATION DISCLOSURE STATEMENT<br>BY APPLICANT | ATTY. DOCKET NO.<br>003493.00096 | SERIAL NUMBER<br>09/723,532 |
|                                                                                                                                               | APPLICANT<br>Andrea Basso        |                             |
|                                                                                                                                               | FILING DATE<br>November 28, 2000 | GROUP ART UNIT<br>2611      |

U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL | DOCUMENT<br>NUMBER | DATE     | NAME               | CLASS | SUB<br>CLASS | FILING<br>DATE |
|---------------------|--------------------|----------|--------------------|-------|--------------|----------------|
|                     | 5,812,639          | 9/22/98  | Bartholomew et al. |       |              |                |
|                     | 2001/0046234       | 11/29/01 | Agrawal et al.     |       |              |                |
|                     | 2002/0120760       | 8/29/02  | Kimchi et al.      |       |              |                |

FOREIGN PATENT DOCUMENTS

| EXAMINER<br>INITIAL | DOCUMENT<br>NUMBER | DATE | COUNTRY | CLASS | SUB<br>CLASS | TRANSLATION<br>YES/NO |  |
|---------------------|--------------------|------|---------|-------|--------------|-----------------------|--|
|                     |                    |      |         |       |              |                       |  |
|                     |                    |      |         |       |              |                       |  |
|                     |                    |      |         |       |              |                       |  |
|                     |                    |      |         |       |              |                       |  |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

|  |                 |
|--|-----------------|
|  | Written Opinion |
|  |                 |
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|                                                                                                                                                                                                          |                 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|
| EXAMINER                                                                                                                                                                                                 | DATE CONSIDERED |
| EXAMINER: Initial citation if reference was considered. Draw line through citation if not in conformance to MPEP 609 and not considered. Include copy of this form with next communication to applicant. |                 |

IDS w/1449 form filed: October 14, 2003